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PTO/SB/21 (09-04)

**TRANSMITTAL  
FORM**

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Total Number of Pages in This Submission

Application Number	10/816,162
Filing Date	March 31, 2004
First Named Inventor	Osamu Kawamae
Art Unit	2656
Examiner Name	Hoa T. Nguyen
Attorney Docket Number	16869S-112700US

**ENCLOSURES (Check all that apply)**

- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO form SB08A, copy of office action for CN200400342965, Return Postcard |
|---|---|--|

Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
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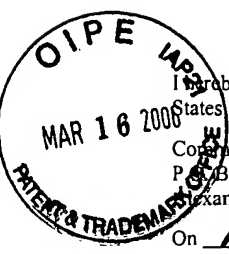
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Townsend and Townsend and Crew LLP		
Signature			
Printed name	Robert C. Colwell		
Date	3/10/07	Reg. No.	27,431

**CERTIFICATE OF TRANSMISSION/MAILING**

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PATENT  
Attorney Docket No.: 16869S-112700US  
Client Reference No.: W1463-01EK

On MARCH 14, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:   
Roger Hyatt

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Osamu KAWAMAE, et al.

Application No.: 10/816,162

Filed: March 31, 2004

For: A RECORDING MEDIUM,  
RECORDING METHOD AND  
RECORDING APPARATUS

Examiner: Hoa T. Nguyen

Art Unit: 2656

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The reference cited on attached form PTO/SB/08A is being called to the attention of the Examiner. It is respectfully requested that the cited reference be expressly considered during the prosecution of this application, and the reference be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of an office action dated November 14, 2005 issued by the China Intellectual Property Office (SIPO) for the corresponding SIPO patent application CN2004100342965.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Robert C. Colwell  
Reg. No. 27,431

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RCC:rmh  
60714712 v1



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

**Complete if Known**

Application Number	10/816,162
Filing Date	March 31, 2004
First Named Inventor	Osamu Kawamae
Art Unit	2656
Examiner Name	Hoa T. Nguyen
Attorney Docket Number	16869S-112700US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,243,338	06-05-2001	Mine	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Bibliographic Data**

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Application Number:	10/816,162	Customer Number:	20350
Filing or 371 (c) Date:	03-31-2004	Status:	Docketed New Case - Ready for Examination
Application Type:	Utility	Status Date:	07-07-2005
Examiner Name:	<u>NGUYEN, HOA T</u>	Location:	ELECTRONIC
Group Art Unit:	2656	Location Date:	-
Confirmation Number:	9034	Earliest Publication No:	US 2005-0007912 A1
Attorney Docket Number:	16869S-112700US	Earliest Publication Date:	01-13-2005
Class / Subclass:	369/100	Patent Number:	-
First Named Inventor:	Osamu Kawamae , Tokyo, (JP)	Issue Date of Patent:	-

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Title of Invention:	Recording medium, recording method and recording apparatus
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